

# Certificate for Module (Essential Skills and Success Ingredients for Chief Financial Officers (CFOs))

## 證書 (單元: 財務長的基本技能和成功要素)

<b>COURSE CODE</b> AC155A	<b>STUDY MODE</b> Part-time	<b>ENQUIRIES</b> ☎ 2867 8475 📠 2861 0278 ✉ certformod@hkuspace.hku.hk 📍 <a href="#">Ask a Question</a>
<b>APPLICATION CODE</b> 2275-AC155A	<b>START DATE</b> To be advised	
<b>QF LEVEL</b> ▶	<b>NEXT INTAKE(S)</b> March 2025	
<b>CREDIT</b> 9	<b>DURATION</b> 30 hours	
	<b>LANGUAGE</b> English	
	<b>COURSE FEE</b> HK\$9000	

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After completing the course, you will be able to:

- Gain a comprehensive understanding of the case-based CFO course.
- Apply for 30 hours of CPD....

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[Programme Overview](#) | [Modules & Class Details](#) | [Fee & Entry Requirements](#)



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# Programme Overview

## Highlights

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The programme aims to equip students with essential knowledge of listing rules, accounting and financial compliance and technology application. It enables Chief Financial Officers (CFOs) to fulfil regulatory obligations, execute mergers and acquisitions, and apply financial technology to perform duties more effectively and efficiently.

## Programme Details

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On completion of the programme, students should be able to

1. critically review and interpret the concepts and principles of Hong Kong Listing Rules;
2. prepare the ESG Report using the HKEX ESG Reporting Guidelines;
3. apply Internal Control to enhance the governance of the company;
4. execute mergers and acquisitions to increase the value of the company; and
5. use financial technology to evaluate and analyse data for financial management.



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# Syllabus

## 1. Legal and Compliance Perspective

### 1.1 Listing Rules in Hong Kong

- Continuing obligations and general disclosure obligations
- Inside information and specific disclosure obligations
- Pledging of shares by controlling shareholder
- Loan agreements with specific performance by controlling shareholder
- Notifiable transactions and connected transactions
- Conditions of mandatory offer and waivers
- Fund-raising activities and placing of securities
- Share options and share awards scheme
- Case studies

### 1.2 Application of Internal Control & ESG Reporting for Listed Companies in Hong Kong

- Identifying key terms, definitions and concepts of Internal Control Framework

## Modules & Class Details

### Modules

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Essential Skills and Success Ingredients for Chief Financial Officers (30 Hours)



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Lecture	Date	Day	Time	Venue
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1	06 March 2025	Thursday	19:00- 22:00	TBC
2	13 March 2025	Thursday	19:00- 22:00	TBC
3	20 March 2025	Thursday	19:00- 22:00	TBC
4	27 March 2025	Thursday	19:00- 22:00	TBC
5	03 April 2025	Thursday	19:00- 22:00	TBC
6	10 April 2025	Thursday	19:00- 22:00	TBC
7	17 April 2025	Thursday	19:00- 22:00	TBC
8	24 April 2025	Thursday	19:00- 22:00	TBC
9	08 May 2025	Thursday	19:00- 22:00	TBC
10	15 May 2025	Thursday	19:00- 22:00	TBC

## Fee & Entry Requirements



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### Fee

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Application Fee

HK\$150 HK\$150

## Course Fee

- Course Fee : HK\$9000

## Entry Requirements

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Applicants should hold:

1. an Advanced Diploma / a Higher Diploma / an Associate Degree awarded by a recognised institution.

Applicants with other equivalent qualifications will be considered on individual merit.

## Apply

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Application Form [↓ Download Application Form](#)

Enrolment Method

### Online Enrolment

HKU SPACE provides 24-hour online application and payment service for students to apply to selected award-bearing programmes and to enrol in most open admission courses (courses enrolled on a first come, first served basis) via the Internet.

Applicants may settle the payment by using either "PPS by Internet" (not available via mobile phones), VISA or Mastercard online. Online WeChat Pay, Online AliPay and Faster Payment System (FPS) are also available for continuing enrolment in the same programme, if online service is offered.

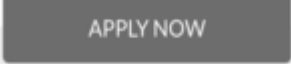


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## For first time enrolment

### 1. Complete the online application form

Applicant may click the icon  on the top right-hand corner of the programme/course webpage to make online application, and then follow the instructions to fill in the online application form.

Some programmes/courses may admit by selection, and may require applicants to provide electronic copy of any required documents (e.g. proof of qualification) as indicated on the programme/course webpage. Only file format in doc, docx, jpg



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